Purpose:

1. Location and organization of newly released Guidance

2. Guidance – November 2015, FAQs and Technical Assistance

3. Questions and Answers
Location and Organization of newly released guidance
• Guidance and support materials
  o [https://www.educateiowa.gov/early-literacy-implementation](https://www.educateiowa.gov/early-literacy-implementation)

• Organization
  o Legal Requirements
  o Guidance
  o Informational Webinars and Q/A
  o Support Materials
  o Iowa TIER and FAST/IGDIs
Location/Organization of Guidance

• Guidance
  o Guidance document
  o Technical Assistance Appendices
    A. Assessment: Universal Screening and Progress Monitoring
    B. Continuous Improvement
    C. Early Childhood
    D. Finance
    E. Intensive Intervention and Core Instruction
    F. Iowa TIER and Data Reporting
    G. Parental Notification
    H. Retention
    I. Student Populations
    J. Substantial Deficiency
    K. Summer School
• Informational Webinars and Q/A
  ○ Access these informational webinars on the dates indicated by going to: https://iowa.adobeconnect.com/_a830042961/ideoe.
<table>
<thead>
<tr>
<th>DATE</th>
<th>TIME</th>
<th>TOPIC</th>
<th>MATERIALS [if applicable]</th>
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<tr>
<td>11/13/15</td>
<td>3:00-4:00</td>
<td>Overview of Early Literacy Guidance</td>
<td>Early Literacy Guidance</td>
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<td></td>
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<td>Early Literacy Technical Assistance Appendices</td>
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<td>11/23/15</td>
<td>3:30-4:30</td>
<td>Assessment-Universal Screening and Progress Monitoring</td>
<td>Early Literacy Technical Assistance Appendices, Appendix A</td>
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<td>Early Literacy Technical Assistance Appendices, Appendix B</td>
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<td>Early Literacy Technical Assistance Appendices, Appendix G</td>
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<td>12/09/15</td>
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<td>Early Literacy Technical Assistance Appendices, Appendix D</td>
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### Support Materials

<table>
<thead>
<tr>
<th>Topic</th>
<th>Appendices</th>
<th>Webinar/PPT</th>
<th>Related Documents</th>
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<tbody>
<tr>
<td>Overview of ELI Guidance</td>
<td></td>
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</table>
| A. Assessment: Universal Screening and Progress Monitoring | Appendix A | Available after 11/23/15     | 1. Universal screening windows in Appendix A  
2. US Benchmarks in Appendix A  
3. [Approved Literacy Assessments](#) |
1. Universal screening in reading grades K-3
2. For students with a “substantial deficiency” in reading:
   a. Progress monitoring
   b. Intensive instruction, including 90 minutes a day of scientific, research-based reading instruction
   c. Notice to parents:
      o The student has a substantial deficiency
      o Strategies they can use to help the child succeed
      o Student progress reports
   d. Retention if the student is not proficient by the end of third grade, did not attend the summer program, and does not qualify for a good cause exemption
3. Comprehensive School Improvement Plan
4. Reporting
5. Finance
1. Universal Screening

- All K-3 students, 3x/year
- Use Department-approved tool
  - Valid, reliable, technically adequate for universal screening
- Use vendor benchmarks
- Provide alternate assessment for students with disabilities whose IEP indicates it is required
2. Substantial Deficiency

• Identification – using Department approved universal screening assessments:
  o Score below the vendor benchmark during a universal screening period directly after a screening period in which they were identified as at-risk of being substantially deficient.
  o Score below the vendor benchmark for two consecutive universal screening periods.
2. Substantial Deficiency – Permitted actions

• The district may use additional resources to identify a student as having a substantial deficiency in reading – these are IN ADDITION to universal screening:
  o Alternative assessments and/or portfolio reviews
  o Teacher observation
Further, students are considered to have a substantial deficiency in the following circumstances:

- Current identification of the student is substantially deficient, and current screening results are below vendor benchmarks.
- Current identification of the student is at-risk, and current screening results are below vendor benchmarks.
- Current identification of the student is substantially deficient, and there are no current screening results available.
- There is no current identification of a student or current screening results available, and teacher observation results indicate the student has a substantial deficiency in reading.
- An IEP team has determined the student must take an alternate assessment aligned to alternate academic achievement standards.
2. Substantial Deficiency

<table>
<thead>
<tr>
<th>Current Identification</th>
<th>Current US</th>
<th>New Identification</th>
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<tbody>
<tr>
<td>ADEQUATELY PROGRESSING</td>
<td>+ GREEN</td>
<td>ADEQUATELY PROGRESSING</td>
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<tr>
<td>AT-RISK</td>
<td>+ GREEN</td>
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<td>AT-RISK</td>
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<td>AT-RISK</td>
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<tr>
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<td>ADEQUATELY PROGRESSING</td>
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<td>AT-RISK</td>
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<td>+ UNAVAILABLE</td>
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</table>
2. Substantial Deficiency

Increase intensity of effort

- At Risk
  - Progress Monitoring Required
  - Intervention Encouraged

- Substantially Deficient
  - Progress Monitoring and Intervention Required

- Appropriately Progressing

Students move up or down one step at a time per screening window.
Question?
How does substantial deficiency, as defined by performance on universal screening measures, relate to proficiency?

Answer.
Substantial deficiency is determined by results of universal screening assessments. Universal screening is intended to predict performance on a general outcome measure. The purpose of universal screening is to determine which students are on track for proficiency and which need additional, perhaps more intensive, instruction.
2. Substantial Deficiency FAQ

**Question?**

What is required when students move on to 4th grade with a substantial deficiency?

**Answer.**

The district is required to continue to provide interventions until the substantial deficiency is remediated, as well as universal screening in order to determine if the substantial deficiency has been remediated.
Appendix J: Substantial Deficiency

- Teacher Observation
- Substantial Deficiency Determination
  - Matrix
  - Stair Step
1. Universal Screening

- All K-3 students, 3x/year
- Use Department-approved tool
  - Valid, reliable, technically adequate for universal screening
- Use vendor benchmarks
- Provide alternate assessment for students with disabilities whose IEP indicates it is required
2.a. Progress Monitoring

- For all students who exhibit a substantial deficiency
  - Monitor weekly
  - Use Department-approved tool
    - Valid, reliable, technically adequate for progress monitoring
  - Use vendor benchmarks
<table>
<thead>
<tr>
<th></th>
<th>Students who are Appropriately Progressing</th>
<th>Students who are At-Risk for a Substantial Deficiency in Reading</th>
<th>Student who exhibit a Substantial Deficiency in Reading</th>
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</thead>
<tbody>
<tr>
<td><strong>Universal Screening</strong></td>
<td>All students 3 x’s per year</td>
<td>All students 3 x’s per year</td>
<td>All students 3 x’s per year</td>
</tr>
<tr>
<td><strong>Progress Monitoring</strong></td>
<td>Not Required</td>
<td>Required Weekly</td>
<td>Required Weekly</td>
</tr>
<tr>
<td><strong>Instruction</strong></td>
<td>Universal Tier</td>
<td>Universal Tier with additional intensive instruction required</td>
<td>Universal Tier with additional intensive instruction required</td>
</tr>
<tr>
<td><strong>Parent Notice</strong></td>
<td>District adopted process for communicating student performance and progress</td>
<td>District adopted process for communicating student performance and progress – Notice specific to performance on universal screening and any services to be provided is recommended.</td>
<td>District adopted process for communicating student performance and progress – Notice to parents specific to performance on universal screening, services to be provided, recommended strategies, progress, and parent contract information is required</td>
</tr>
</tbody>
</table>
**Question?**

If special education teachers use a different progress monitoring (PM) tool (e.g., Aimsweb or DIBELS) do they need to put the scores in somewhere to prove to the state that they are progress monitoring weekly like they are supposed to be according to the legislation?

**Answer.**

Not at this time. Department-approved progress monitoring tools that are not programmed into the state-supported data system are currently handled with an assurance that they are occurring weekly for every student who meets the requirement to be progress monitored.
Universal Screening/Progress Monitoring FAQ

**Question?**
What are the screening requirements for homeschooled children?

**Answer.**

- Offer screening to all students in district in K-3, and offer additional supports for students who are identified as having a substantial deficiency in reading.

- This includes students who are dual enrolled, and/or participate in your district’s home school assistance program.

Consequences for refusal to participate in screening are locally determined.
**Question?**

Can the parents of students who are homeschooled opt out of the requirements?

**Answer.**

- Students who receive any type of service from a public district must be offered screening.
- Consequences for refusal to participate are locally determined. Homeschooled student may, but are not required to, participate in additional supports.
**Question?**
Do these requirements apply to dual-enrolled students?

**Answer.**
Yes. The ELI requirements apply to all students who receive any type of service from a public school.
Universal Screening/Progress Monitoring FAQ

**Question?**
Can a parent refuse to have their child tested?

**Answer.**
Parental refusal is not permitted by current legal guidance; however, consequences for failure to test are locally determined.
**Question?**
If a universal screening measure is on the approved list, can it be used at any grade level?

**Answer.**
Universal Screening Measures were reviewed and approved per grade level. Districts must select the approved measure by grade level and designate what measure will be used per grade level in the state supported data system.
Question?
What if progress monitoring at grade level is too frustrating for the student or is otherwise not appropriate?

Answer.
• In certain circumstances, a below grade level measure may be used for weekly progress monitoring.
• When administering an off-grade level measure, a grade level measure should be given (less frequently; typically once per month) to evaluate whether the student’s progress is sufficient to accelerate learning and close the gap.
Question?
What assessments must be given for students above third grade who continue to have a substantial deficiency in reading?

Answer.
• It is required for benchmark (universal screening) measures to be administered beyond third grade to students identified as having a substantial deficiency in reading that has not yet been remediated.
• It is strongly recommended that progress monitoring continue in order to assess the student’s rate of progress and to assist instructional decision-making.
Appendix A: Assessment: US/PM

- Universal Screening Window
- Universal Screening Benchmarks
- Approved Literacy Assessments
- Changing the default progress monitoring measure
2.b. Intensive Interventions

• For any student with a substantial deficiency, provide:
  ◦ 90 minutes a day of scientific, research-based reading instruction, which may include:
    Small group instruction, Reduced teacher-student ratios, More frequent progress monitoring, Tutoring or mentoring, Extended school day, week, or year services, Summer reading programs
  ◦ A reading curriculum that does all of the following:
    ▪ Assists in developing skills to read at grade-level
    ▪ Provides skill development in phonemic awareness, phonics, fluency, vocabulary, and comprehension
    ▪ Is implemented by certified staff with appropriate training and PD
    ▪ Is implemented during regular school hours
    ▪ Provides curriculum in core academic subjects

  Until the reading deficiency is remediated
2.b. Intensive Interventions

**Question?**
Do we have to continue to provide intensive interventions for students past the third grade?

**Answer.**
Yes. In order to determine a student no longer performs below the benchmark, districts must implement Department approved universal screening assessments for all students identified as having a substantial deficiency in reading, from kindergarten through twelfth grade in Iowa’s public schools, and any nonpublic student receiving services in public schools where the nonpublic is not implementing any Department approved universal screening or progress monitoring assessments.
Appendix E: Intensive Intervention and Core Instruction

- General Information Regarding Instruction
- Determining Intervention Success
- Iowa Reading Research Center (IRRC)
- Reviewed list of Interventions
The parent or guardian of a student who exhibits a substantial deficiency in reading must receive:

- **Annual Notices:**
  - Student has been identified as having a substantial deficiency
  - Information on services currently provided to the student
  - Proposed supplemental services the district will provide
  - Information on strategies the parents/guardians may use to help the child succeed

- **Quarterly Notices:**
  - Information on their students academic progress and any other useful information

- **One-Time Notices:**
  - A plan for remediating the reading deficiency in a parent contract
  - Notice that they may enroll their student in an intensive summer reading program if not proficient at the end of 3rd grade
2.c. Notice to Parents

• For students on the alternate assessment:
  o Parental notification is required in adherence to special education guidance requirements.
  o Summer school is required, and the parental notification regarding this component is required. Extended School Year Services may meet the requirement of summer school if the ESYS meets the criteria specified in the guidance provided for summer school.
<table>
<thead>
<tr>
<th>Notification</th>
<th>Timeframe</th>
<th>Example</th>
</tr>
</thead>
</table>
| Student has been identified as having a substantial deficiency in the area of reading, current services, proposed supplemental services, strategies parents/guardians may use | Annually – Within a week of the conclusion of a screening period            | • Parent Notification Letter [Substantial Deficiency Parent Letter Laws Only]
                                                                                                      |                                                                            | • School Family Partnership Sheet                                          |
| A plan for remediating the reading deficiency in a parent contract          | One-Time Follow-up after initial letter                                    | • Parent Letter and Contract Example
                                                                                                      |                                                                            | • Title 1-ELI Parent Letter and Contract Example                           |
| Reports on student academic progress and any other useful information       | Quarterly                                                                  | • District adopted format for communicating student performance and progress |
| Enrollment in an intensive summer reading program if student is not proficient at the end of 3rd grade | One-Time                                                                  | • No example or guidance at this time                                    |
2.c. Parental Notification FAQ

**Question?**
Do parents have to sign the contract?

**Answer.**
No. It is recommended that all parties sign the contract to ensure the principal, educators, and parents are working collaboratively to support the student in the area of reading.
**Question?**
Do we have to use the sample forms or are other options OK? What must be on the parent communication if the district creates their own?

**Answer.**
The sample forms are not required. The elements of communication must include universal screening data that indicates their child(ren) is/are below benchmark, current services and proposed supplemental services that will be provided to the student to remediate substantial deficiency, and strategies parents/guardians may implement at home.
2.c. Parental Notification FAQ

**Question?**

If a student’s IEP designates that he or she is to take an alternate assessment, is parental notification, and summer school required?

**Answer.**

Parental notification is required in adherence to special education guidance requirements. Summer school is required, and the parental notification regarding this component is required. Extended School Year Services may meet the requirement of summer school if the ESYS meets the criteria specified in the guidance provided for summer school.
Appendix G:
Parental Notification

- Initial Parent Notification
- Home-School Contract
- Progress Reports
- Enrollment Notification in Intensive Summer Reading Program; *to be developed*
Beginning May 1, 2017, a student must be retained in grade 3 who:

- is not proficient by the end of 3rd grade, AND
- does not enroll in and complete the intensive summer reading program, AND
- does not qualify for a good cause exemption
Beginning May 1, 2017 each district must provide an intensive summer reading program for any student who exhibits a substantial deficiency in reading.

A one-time waiver from this provision will be available.

Standards developed by the Iowa Reading Research Center (IRRC) – public comment is currently being reviewed.
2.d. Retention – Waiver

• A granted waiver from the Department waves the following for one year only Summer of 2017:
  o Retention
  o Attendance at intensive summer reading program for students identified as substantially deficient in reading at the winter benchmark of their third grade year
  o Parental notification of retention and intensive summer literacy attendance
  o One-year waiver for providing intensive summer reading programs excuses districts from enacting retention decisions under 279.68 for that year.
2.d. Retention – Good Cause

1. The student is a Limited English Proficient student with less than two years of instruction in an ESL program

2. The student has an IEP that indicates that participation in the assessments required by 279.68 is not appropriate

3. The student has demonstrated an acceptable level of performance on an alternative assessment based on scientifically-based research

4. The student has demonstrated mastery through a portfolio review that meets Department-required criteria

5. The student was previously retained and has received intensive remediation for at least two years
2.d. Retention FAQ

**Question?**
If a district is granted a waiver of the intensive summer reading program, what happens to the kids who were not yet proficient and needed the program?

**Answer.**
The one-year waiver for providing intensive summer reading programs precludes districts from enacting retention decisions for that year. If a district applies for and is granted a waiver from the Department, retention and attendance at an intensive summer reading program for students identified as substantially deficient in reading at the winter benchmark of their third grade year does not apply. This applies to the 2017 summer only.
Appendix H: Retention

- To be developed
- Summer School Appendix K— to be developed
• At attendance center level:
  o If more than 15 percent of an attendance center’s third grade students are not at benchmark at the conclusion of the winter assessment window of a given year, schools are required to identify barriers, and address areas of concern based on these analyses within their CSIP

• Chronic Early Absenteeism
  o Missing 10% or more of school days for any reason
3. Comprehensive School Improvement Plan

- Analyze specific data at the systems and school level, identify barriers, and address any areas of concern based on the analyses within their CSIP.

- Analyses must include:
  - Universal screening and progress monitoring data
  - Chronic early elementary absenteeism and its impact on literacy.
  - Percent of students assessed with a valid and reliable universal screening tool.
  - Percent of students not at benchmark assessed with a valid and reliable progress monitoring tool at least 90% of the weeks between screening periods.
  - Percent of students at benchmark on universal screening assessment.
  - Percent of students at or above benchmark in the fall and remaining at or above benchmark.

1Including but not limited to race/ethnicity and English language learners (ELL) status, as well as attendance
Question?
How shall we review “chronic early elementary absenteeism for its impact on literacy development”?

Answer.
The district should review attendance data for all students in grades K-3 to determine if a pattern of frequent absences is associated with students being determined to have a substantial deficiency in reading at the individual student level.
3. Comprehensive School Improvement FAQ

**Question?**

Do we have to provide professional development to enhance the skills of elementary teachers in responding to children’s unique reading issues and needs and to increase the use of evidence-based strategies?

**Answer.**

No. This is not required by code or rule since there have not been funds appropriated specifically for this section of the law. However, the district may use part or all of their portion of the Early Literacy Progression monies allocated to provide professional learning opportunities for teachers if they choose.
Appendix B:
Continuous Improvement

• General information on continuous improvement
• Collaborative Inquiry Questions
• Chronic and Early Absenteeism
• Protocols to support schools to identify barriers and address areas of concern
4. Reporting

- Report universal screening and progress monitoring data to the IDE
- Report interventions and supports implemented to the IDE
- Address needed areas as part of the Comprehensive School Improvement Plan
4. Reporting FAQ

Question?
What are the reporting requirements for 4th grade and above, for students who continue to be substantially deficient?

Answer.
The mechanism for reporting screening and progress monitoring will be located in Appendix F: Iowa TIER and Data Reporting when this becomes available. Sites using the assessments directly programmed into the statewide data system should continue to do so for students with substantial deficiency until remedied.
Appendix F:
Iowa TIER and Data Reporting

- Logging in to Iowa TIER
- Trouble-shooting TIER Access
- Selecting the default assessment for substantial deficiency, at-risk, and adequately progressing
- SD Reporting for districts using FAST/Fast Bridge Learning
- SD Reporting for districts using a non-FAST tool
- Accessing the Ticket System
• Funding for 2015-2016 is $8 million
• Access funds via iowagrants.gov
• Guidance for use of funds is included in Appendix D: Finance
  o ELI Budget Guidance
  o Application for Funds and Contacts
5. Finance FAQ

**Question?**

What are the reporting requirements for 4th grade and above, for students who continue to be substantially deficient?

**Answer.**

The mechanism for reporting screening and progress monitoring will be located in *Appendix F: Iowa TIER and Data Reporting* when this becomes available. Sites using the assessments directly programmed into the statewide data system should continue to do so for students with substantial deficiency until remedied.
Contacts

• 279.68:
  ▪ Thomas Mayes: thomas.mayes@iowa.gov
  ▪ Barbara Ohlund: barbara.ohlund@iowa.gov
  ▪ Amy Williamson: amy.williamson@iowa.gov

• Budget allocations/submissions:
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• Intensive Summer Reading Programs
  ▪ Deborah Reed, Director, Iowa Reading Research Center: deborah-reed@iowareadingresearch.org

• Iowa TIER:
  ▪ Janell Brandhorst: janell.brandhorst@iowa.gov
  ▪ Connor Hood: connor.hood@iowa.gov